





PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q76771

Haruhisa YAMAGUCHI

Appln. No.: 10/634,852

Group Art Unit: 2631

Confirmation No.: 7006

Examiner: Unknown

Filed: August 6, 2003

For: NOISE SHAPER FOR PROCESSING STEREO SIGNALS

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required. However Applicant submits a Statement under 37 C.F.R. § 1.97(e).

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/634,852

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a Communication from a

foreign patent office in a counterpart application citing such documents. Applicant also submits

herewith English language Abstracts as a concise statement of relevance for Japanese Publication

Nos. 09-186599, 10-098799, 06-013906, 2001-237706 and 09-074355, and WO Publication No.

00/35096.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account.

Respectfully submitted,

Registration No. 25,426

Alan J. Kasper

SUGHRUE MION, PLLC

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: April 7, 2006

2



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STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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CUSTOMER NUMBER

Date: April 7, 2006

Alan J. Kasper

Registration No. ajkr

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ŞTA	TEMENT BY APPL	ICANT	Filing Date	August 6, 2003
			First Named Inventor	Haruhisa YAMAGUCHI
` (use as many sheets as neces	sary)	Art Unit	2631
	·	• •	Examiner Name	Unknown

Attorney Docket Number

Q76771

of

Sheet

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document	Document Number			
		Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US				
		US				
		US				
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FOREIGN PATENT DOCUMENTS							
Examiner Cite Initials* No.1	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		wo	00/35096	A2	06-15-2000		Abstract
		JP	09-074355	Α	03-18-1997	YAMAHA CORP.	Abstract
		JP	2001-237706	A	08-31-2001	HITACHI LTD, HITACHI CAR ENG. CO. LTD.	Abstract
		JP	06-013906	Α	01-21-1994	TOSHIBA CORP.	Abstract
		JP	10-098799	Α	04-14-1998	YAMAHA CORP.	Abstract
		JP	09-186599	A	07-15-1997	SONY CORP.	Abstract

NON PATENT LITERATURE DOCUMENTS						
Examiner Cite Initials* No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.				
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Examiner Signature	Ds	ate Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.